

IFW 2113

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Total Number of Pages in This Submission

7**

Application Number	10/087,000
Filing Date	February 28, 2002
First Named Inventor	Penny C. Leavy
Art Unit	2113
Examiner Name	Philip A. Guyton
Attorney Docket Number	10009.000110

ENCLOSURES (Check all that apply)

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Remarks

**Excluding no. of pages of cited refs.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	De Guzman & Associates, PC		
Signature			
Printed name	Arnold M. de Guzman		
Date	June 23, 2006	Reg. No.	39,955

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:) Confirmation No.: 6351
Penny C. Leavy, et al.)
Serial No.: 10/087,000) Examiner: Philip A. Guyton
Filed: February 28, 2002) Art Unit: 2113
Title: FAULT INJECTION) Atty. Docket No.: 10009.000110
METHODS AND APPARATUS)
_____)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT**Under 37 C.F.R. §1.56 and §§1.97-98**

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no

In re Leavy, et al.
US App. No. 10/087,000

other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☐ 37 CFR §1.97(b).
- ☒ 37 CFR §1.97(c). If so, then either:
- ☒ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☒ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application

or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.

OR

- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.

AND

☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

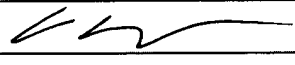
Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,
Penny C. Leavy, et al.

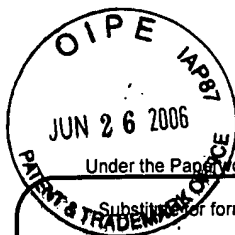
Dated: June 23, 2006

By: 

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In re Leavy, et al.
US App. No. 10/087,000



PTO/SB/08A (07-05)

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Substitution of form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/087,000
Filing Date	February 28, 2002
First Named Inventor	Penny C. Leavy
Art Unit	2113
Examiner Name	Philip A. Guyton
Attorney Docket Number	10009.000110

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1H	US- 5,072,447	12-10-1991	Perloff, et al.	
	1I	US- 5,440,723	08-08-1995	Arnoldi, et al.	
	1J	US- 5,485,409	01-16-1996	Gupta, et al.	
	1K	US- 5,892,903	04-06-1999	C. Klaus	
	2A	US- 6,487,666 B1	11-26-2002	Shanklin, et al.	
	2B	US- 6,560,720 B1	05-06-2003	Chirashnya, et al.	
	2C	US- 6,574,737 B1	06-03-2003	Kingsford, et al.	
	2D	US- 6,609,205 B1	08-19-2003	Bernhard, et al.	
	2E	US- 6,654,914 B1	11-25-2003	Kafine, et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/087,000
Filing Date	February 28, 2002
First Named Inventor	Penny C. Leavy
Art Unit	2113
Examiner Name	Philip A. Guyton
Attorney Docket Number	10009.000110

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1Q	"An Automated Approach for Identifying Potential Vulnerabilities in Software" by A. K. Ghosh, et al. Proc. of IEEE Symp. on Sec. and Privacy. May 3-6, 1998. pages 104-114	
	1R	"Towards Analysing Security-Critical Software During Development", by A. K. Ghosh, et al. Technical Report RSTR-96-023-01, RST Corporation, December 1996. Total pages: 14	
	1S	"An Approach for Certifying Security in Software Components", by A.K. Ghosh, et al. Proc. 21st NIST-NCSC National Info. Systems Conf., Oct. 6-9, 1998. Total pages: 7	
	1T	"Vulnerability Testing of Software System Using Fault Injection" by W. Du, et al. Tech. Report Coast TR98-02, Dept. of Comp. Science, Purdue Univ., 1998. Total pages: 20	
	1U	"NT Web Technology Vulnerabilities", rain.forest.puppy, Phrack Magazine, Vol. 8, Iss. 54, Dec. 25, 1998. Article 8 of 12. Total pages: 8	
	1V	"Program-Probe Web for Insecure Perl Installations", perl-cgi_pl.txt, March 28, 1996. Total pages: 3	
	1W	"Program-CgiScan v 1.5", cgiscan_c.txt, Feb. 1999. Total pages: 3	
	1X	"SPHINX: A Framework for Creating Personal, Site-Specific Web Crawlers" by R. Miller, et al. April 1998. [webpages] [online]. Retrieved on 3/23/2006. Retrieved from the internet: http://www.cs.cmu.edu/~rcm/papers/www7/www7.html . Total pages: 14	
	1Y	"The World Wide Web Security FAQ version 1.9.0" by L. Stein. June 30, 1998. [webpages] [online]. Retrieved on 3/20/2006. Retrieved from the internet: file:///C:/work/Cenzic/www-security-faq.html . Total pages: 4	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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